



# **STIC Search Report**

## **EIC 2100**

**STIC Database Tracking Number: 163123**

**TO: Neveen Abel-Jalil**  
**Location: RND 3A20**  
**Art Unit : 2165**  
**Wednesday, May 11, 2005**

**Case Serial Number: 09/923573**

**From: David Holloway**  
**Location: EIC 2100**  
**RND 4B19**  
**Phone: 2-3528**

**david.holloway@uspto.gov**

### **Search Notes**

Dear Examiner Abel-Jalil,

Attached please find your search results for above-referenced case.  
Please contact me if you have any questions or would like a re-focused search.

David



| Set | Items   | Description   |
|-----|---------|---|
| S1  | 503030  | ONLINE OR INTERNET? OR NETWORK? OR INTRANET? OR WAN OR VID-<br>EOCONFER? OR TELECONF? OR LAN OR WANS OR LANS OR ON()LINE  |
| S2  | 2136113 | RESPONS? OR ANSWER? OR VOTE? OR VOTING? OR REGISTRAT? OR R-<br>REGISTER? OR REPLY OR REPLIES OR RESULT?                   |
| S3  | 172294  | QUER? OR INQUIR? OR QUESTION? OR POLL OR POLLS OR POLLING -<br>OR FEEDBACK?   |
| S4  | 113497  | COLLABORAT? OR CONSENSUS? OR DECISION? OR AGREE? OR ARBITR-<br>AT?  |
| S5  | 9503    | S1 AND S4   |
| S6  | 278     | S5 AND S2 AND S3  |
| S7  | 3308268 | SETPOINT? OR LIMIT? OR THRESHOLD? OR MAX OR MIN OR MINIMUM?<br>OR MAXIMUM? OR LEAST? OR FLOOR? OR CEILING? OR SET()POINT? |
| S8  | 47      | S6 AND S7   |
| S9  | 4171399 | REPEAT? OR REITERAT? OR ITERAT? OR AGAIN? OR ANOTHER? OR F-<br>OLLOWING? OR SECOND OR 2ND                                 |
| S10 | 24      | S8 AND S9   |
| S11 | 53      | S1(3N)S4 AND (S2 OR S3) AND S7  |
| S12 | 7977    | S3(5N)S9  |
| S13 | 11      | S6 AND S12  |
| S14 | 101     | S13 OR S11 OR S10 OR S8   |
| S15 | 56      | S14 AND IC=G06F   |
| S16 | 40      | S15 NOT AD=20010807:20030807  |
| S17 | 38      | S16 NOT AD=20030807:20050801  |
| S18 | 38      | IDPAT (sorted in duplicate/non-duplicate order)   |
| S19 | 38      | IDPAT (primary/non-duplicate records only)  |

File 347:JAPIO Nov 1976-2005/Jan(Updated 050506)  
(c) 2005 JPO & JAPIO

File 350:Derwent WPIX 1963-2005/UD,UM &UP=200529  
(c) 2005 Thomson Derwent

19/5/1 (Item 1 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2005 Thomson Derwent. All rts. reserv.

016010417 \*\*Image available\*\*  
WPI Acc No: 2004-168268/200416  
Related WPI Acc No: 2003-420370  
XRPX Acc No: N04-134236

Page information providing method, involves receiving response based on questions, evaluating responses against predetermined criterion, and changing information on page based on evaluation

Patent Assignee: COLEMAN K B (COLE-I)

Inventor: COLEMAN K B

Number of Countries: 001 Number of Patents: 001

Patent Family:

| Patent No      | Kind | Date     | Applicat No   | Kind | Date     | Week     |
|----------------|------|----------|---------------|------|----------|----------|
| US 20040024656 | A1   | 20040205 | US 2000209228 | P    | 20000602 | 200416 B |
|                |      |          | US 2000615177 | A    | 20000713 |          |
|                |      |          | US 2000737926 | A    | 20001215 |          |

Priority Applications (No Type Date): US 2000209228 P 20000602; US 2000615177 A 20000713; US 2000737926 A 20001215

Patent Details:

| Patent No      | Kind | Lan | Pg | Main IPC    | Filing Notes                          |
|----------------|------|-----|----|-------------|---------------------------------------|
| US 20040024656 | A1   |     | 25 | G06F-017/60 | Provisional application US 2000209228 |

CIP of application US 2000615177

Abstract (Basic): US 20040024656 A1

NOVELTY - The method involves providing a question on a page, and receiving a response based on the questions. The responses are evaluated against a predetermined criterion and the information on the page is changed based on the evaluation. A derived measure is generated from the responses, the derived measure is input to a fuzzy logic engine and a membership grade is assigned to derived measures.

USE - Used for assisting online shopper or consumer with purchasing decision.

ADVANTAGE - The method provides an interactive product selector for use by consumers of goods and services that provides a positive user experience while providing valuable guidance to the user during a selection process.

DESCRIPTION OF DRAWING(S) - The drawing shows a schematic diagram of entities involved in the page information providing method.

Client (102)  
Servers (104)  
Providers (108)  
Internet (110)  
Local area network (112)  
pp; 25 DwgNo 1/9

Title Terms: PAGE; INFORMATION; METHOD; RECEIVE; RESPOND; BASED; QUESTION; EVALUATE; RESPOND; PREDETERMINED; CRITERIA; CHANGE; INFORMATION; PAGE; BASED; EVALUATE

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

International Patent Class (Additional): G06F-015/18

File Segment: EPI

19/5/9 (Item 9 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2005 Thomson Derwent. All rts. reserv.

014012651 \*\*Image available\*\*  
WPI Acc No: 2001-496865/200154  
XRPX Acc No: N01-368173

Internet based hierarchical product classification system for  
e-commerce, has logic for testing product descriptions against  
decision node queries until a decision node query leading to  
branch terminus is reached

Patent Assignee: PRICERADAR INC (PRIC-N)  
Inventor: CAIN R A; WARFIELD R W  
Number of Countries: 094 Number of Patents: 002  
Patent Family:

| Patent No    | Kind | Date     | Applicat No   | Kind | Date     | Week     |
|--------------|------|----------|---------------|------|----------|----------|
| WO 200155886 | A2   | 20010802 | WO 2001US1944 | A    | 20010119 | 200154 B |
| AU 200132883 | A    | 20010807 | AU 200132883  | A    | 20010119 | 200174   |

Priority Applications (No Type Date): US 2001766301 A 20010118; US  
2000177240 P 20000120; US 2001765697 A 20010118; US 2001766300 A 20010118  
Patent Details:

| Patent No    | Kind | Lan Pg | Main IPC    | Filing Notes |
|--------------|------|--------|-------------|--------------|
| WO 200155886 | A2   | E 102  | G06F-017/00 |              |

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA  
CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP  
KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT  
RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

AU 200132883 A G06F-017/00 Based on patent WO 200155886

Abstract (Basic): WO 200155886 A2

NOVELTY - The system comprises of a logic for classifying product  
entries having product descriptions with a branch terminus of the  
decision tree by assigning the product entry to a tree level of the  
decision tree. The product descriptions are tested against decision  
node queries leading from the tree level until a decision node  
query leading to a branch terminus is satisfied.

DETAILED DESCRIPTION - The system comprises of logic defining  
branched decision tree which includes several decision node, each  
node interconnecting a branch leading to either another tree level or  
branch terminus. The logic associated with each decision node defines  
a query that needs to be satisfied by a product description of a  
product entry to be classified in order for that product entry to be  
classified with the tree level or branch terminus to which the branch  
associated with that decision node extends. INDEPENDENT CLAIMS are  
also included for the following:

- Automated product entry classification method;
- Product information database;
- Product search system;
- Product search method;
- Automated product information profiling method

USE - In e-commerce for classifying product information obtained  
through computer network .

ADVANTAGE - The network based system provides a consumer with a  
comparative resource of identical products or services available on a  
network and a valuation information associated with each available  
corresponding product or service. The product classification system  
accommodates millions of product descriptions, both current and past,  
with database and product descriptions are classified into tens or  
hundreds of thousands of categories and sub-categories with assistance  
of decision node queries . The number of text fields that need to be  
searched by boolean seaching decision node queries instead of

product descriptions, is reduced. By returning to the user as a search **result**, the categories which match the user's **query**, the user is able to select categories of the system's taxonomy which better match what the user was looking for and thus the user is able to use the search of **decision** node **queries** and matching categories to navigate taxonomy to focus in on the items the user is seeking to identify.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram illustrating hierarchical product classification system.

pp; 102 DwgNo 1/7

Title Terms: BASED; HIERARCHY; PRODUCT; CLASSIFY; SYSTEM; LOGIC; TEST;  
PRODUCT; DESCRIBE; DECIDE; NODE; **QUERY**; DECIDE; NODE; **QUERY**; LEADING;  
BRANCH; TERMINAL; REACH

Derwent Class: T01

International Patent Class (Main): **G06F-017/00**

File Segment: EPI

19/5/11 (Item 11 from file: 350)  
DIALOG(R) File 350:Derwent WPIX  
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013956946 \*\*Image available\*\*

WPI Acc No: 2001-441160/200147

Related WPI Acc No: 2001-354652; 2001-397419; 2001-456994; 2001-457005;  
2001-464784; 2001-580592; 2001-596340

XRPX Acc No: N01-326395

Network system for content collaboration among group of participants;  
uses logic in communication with database to asynchronously dynamically  
update binary content in dynamic content region in response to input

Patent Assignee: FIREDROP INC (FIRE-N); ZAPLET INC (ZAPL-N)

Inventor: AXE B; EVANS S R; HANSON M; MILLER G

Number of Countries: 094 Number of Patents: 003

Patent Family:

| Patent No    | Kind | Date     | Applicat No    | Kind | Date     | Week     |
|--------------|------|----------|----------------|------|----------|----------|
| WO 200122246 | A1   | 20010329 | WO 2000US40745 | A    | 20000824 | 200147 B |
| AU 200126127 | A    | 20010424 | AU 200126127   | A    | 20000824 | 200147   |
| US 6507865   | B1   | 20030114 | US 99151476    | P    | 19990830 | 200313   |
|              |      |          | US 99151650    | P    | 19990831 |          |
|              |      |          | US 99426648    | A    | 19991025 |          |
|              |      |          | US 99427152    | A    | 19991025 |          |
|              |      |          | US 99427378    | A    | 19991025 |          |
|              |      |          | US 2000483221  | A    | 20000114 |          |

Priority Applications (No Type Date): US 2000483221 A 20000114; US 99151476  
P 19990830; US 99151650 P 19990831; US 99426648 A 19991025; US 99427152 A  
19991025; US 99427378 A 19991025

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200122246 A1 E 54 G06F-015/16

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA  
CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP  
KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT  
RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW

AU 200126127 A G06F-015/16 Based on patent WO 200122246

US 6507865 B1 G06F-015/16 Provisional application US 99151476

Provisional application US 99151650

CIP of application US 99426648

CIP of application US 99427152

CIP of application US 99427378

Abstract (Basic): WO 200122246 A1

NOVELTY - At least one dynamic content region in an electronic medium has binary content. An interface region in the electronic medium accepts input from one of any of the participants and an external source in data communication with a server. Logic is in communication with the database to asynchronously dynamically update the binary content in the dynamic content region in response to the input.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for:

- (a) a method of content collaboration among a group of participants
- (b) a content collaboration tool
- (c) a method for creating a greeting card among group of participants
- (d) a method for managing tasks among group of participants
- (e) a method of tracking stocks among group of participants
- (f) a network system
- (g) a computer software residing on a computer readable medium at device connected to network

USE - In content collaboration among a group of participants connected to networks using a dynamic distribution of data

ADVANTAGE - Improves access to content that may be checked out,

modified, and then checked back into some repository. Reduces the time required for each participant to make his or her changes excluding problem of locking-unlocking of the content or keep checking to see if the content is unlocked.

DESCRIPTION OF DRAWING(S) - The drawing is a diagram of a data structure for a media for communicating information and supports collaboration among participants in group connected to network (referred as a 'zaplet').

pp; 54 DwgNo 4/17

Title Terms: NETWORK; SYSTEM; CONTENT; GROUP; PARTICIPATING; LOGIC;  
COMMUNICATE; DATABASE; ASYNCHRONOUS; DYNAMIC; UPDATE; BINARY; CONTENT;  
DYNAMIC; CONTENT; REGION; RESPOND; INPUT

Derwent Class: T01

International Patent Class (Main): G06F-015/16

File Segment: EPI

19/5/12 (Item 12 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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013491711 \*\*Image available\*\*  
WPI Acc No: 2000-663654/200064  
XRPX Acc No: N00-491680

**Approximate answers provision method for aggregate queries , involves summarizing sub-cube corresponding to relational database, using histogram techniques and computing error/space benefits**

Patent Assignee: LUCENT TECHNOLOGIES INC (LUCE )

Inventor: GANTI V; POOSALA V

Number of Countries: 001 Number of Patents: 001

Patent Family:

| Patent No  | Kind | Date     | Applicat No | Kind | Date     | Week     |
|------------|------|----------|-------------|------|----------|----------|
| US 6108647 | A    | 20000822 | US 9882057  | A    | 19980521 | 200064 B |

Priority Applications (No Type Date): US 9882057 A 19980521

Patent Details:

| Patent No  | Kind | Lan Pg | Main IPC    | Filing Notes |
|------------|------|--------|-------------|--------------|
| US 6108647 | A    | 12     | G06F-017/30 |              |

Abstract (Basic): US 6108647 A

NOVELTY - A **query** containing input data is received. A summary of data cube corresponding to relational database is pre computed and a sub-cube is summarized using histogram techniques. Error/space benefits are computed for each summary corresponding to each technique. An approximate **answer** is calculated using histogram technique corresponding to **maximum** error/space benefit and is output.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following :

(a) computer system for providing an approximate **answer** to the **query** ;

(b) program product

USE - For use in **decision** support applications or **online** analytical processing applications e.g. business enterprise, large multi-national corporation, etc. Also for use in real time applications such as telecom switches.

ADVANTAGE - Provides quick and approximate **answers** to aggregate **queries** by pre computing summary of the data cube using histograms and **answering queries** using smaller summary. Identifies accurate histogram classes and distributes space among the histograms in various sub-cubes such that the errors are minimized while maximizing computer resources.

DESCRIPTION OF DRAWING(S) - The figure shows the flowchart for providing approximate **answers** to aggregate **queries** .

pp; 12 DwgNo 1/6

Title Terms: APPROXIMATE; **ANSWER** ; PROVISION; METHOD; AGGREGATE; **QUERY** ; SUB; CUBE; CORRESPOND; RELATED; DATABASE; HISTOGRAM; TECHNIQUE; COMPUTATION; ERROR; SPACE; BENEFICIAL

Derwent Class: T01

International Patent Class (Main): **G06F-017/30**

File Segment: EPI



19/5/13 (Item 13 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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012336283 \*\*Image available\*\*  
WPI Acc No: 1999-142390/199912  
Related WPI Acc No: 1999-633505; 2000-255347; 2002-009668; 2002-081886;  
2002-712614

XRPX Acc No: N99-103516

**Information filtering method in computer system**

Patent Assignee: KOSAK D M (KOSA-I); LANG A K (LANG-I)

Inventor: KOSAK D M; LANG A K

Number of Countries: 001 Number of Patents: 001

Patent Family:

| Patent No  | Kind | Date     | Applicat No | Kind | Date     | Week     |
|------------|------|----------|-------------|------|----------|----------|
| US 5867799 | A    | 19990202 | US 96627436 | A    | 19960404 | 199912 B |

Priority Applications (No Type Date): US 96627436 A 19960404

Patent Details:

| Patent No  | Kind | Lan Pg | Main IPC    | Filing Notes |
|------------|------|--------|-------------|--------------|
| US 5867799 | A    | 34     | G06F-017/30 |              |

Abstract (Basic): US 5867799 A

NOVELTY - The **feedback** data is received from the user in **response** to the proposed information. The dynamic information characterization is updated by updating at **least** one of the adaptive content profile and adaptive **collaboration** profile in **response** to **feedback** data.

DETAILED DESCRIPTION - The dynamic information characterization is provided which has multiple encoded profiles including adaptive content profile and adaptive **collaboration** profile. The raw information are filtered **responsively** in **response** to dynamic information characterization to produce a proposed information. The information is presented to the user. INDEPENDENT CLAIMS are also included for the **following** :

- (a) information filtering apparatus in computer system;
- (b) computer program product;
- (c) **network** operable information processing system;
- (d) operating method of information processing system in **network**

USE - In computer **networking** system.

ADVANTAGE - Provides clients with information credibility and personal preferences by implementing adaptive credibility filtering.

DESCRIPTION OF DRAWING(S) - The figure shows flow chart of information filtering method.

pp; 34 DwgNo 2/7

Title Terms: INFORMATION; FILTER; METHOD; COMPUTER; SYSTEM

Derwent Class: T01

International Patent Class (Main): **G06F-017/30**

File Segment: EPI

19/5/28 (Item 28 from file: 347)  
DIALOG(R) File 347:JAPIO  
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07281555 \*\*Image available\*\*  
CONSULTANT SYSTEM

PUB. NO.: 2002-150021 [JP 2002150021 A]  
PUBLISHED: May 24, 2002 (20020524)  
INVENTOR(s): SUZUKI OSAMU  
APPLICANT(s): MITSUBISHI ELECTRIC BUILDING TECHNO SERVICE CO LTD  
APPL. NO.: 2000-340703 [JP 2000340703]  
FILED: November 08, 2000 (20001108)  
INTL CLASS: G06F-017/60

#### ABSTRACT

PROBLEM TO BE SOLVED: To provide a consultant system capable of easily receiving a request via an opened **network** and cheaply solving the contents of the request.

SOLUTION: In the consultant system in which a server 1 of a provider acting as a consultant mediator, a plurality of client terminals 2 and a plurality of consultant terminals 3 are connected via the opened **network**, the server 1 displays on a homepage at **least** a **question** and the amount of money from the client terminal 2 side, displays an amount from the consultant terminal 3 side capable of showing the **answer** to the **question**, and leads the client and the consultant to sign an **agreement** when their amounts reach a compromise, and the consultant terminal 3 reaching the **agreement** discloses a survey **result** on delivery time to the client terminal 2.

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| Set  | Items                              | Description  |
|------|------------------------------------|--|
| S1   | 2880376                            | ONLINE OR INTERNET? OR NETWORK? OR INTRANET? OR WAN OR VIDEOCONFER? OR TELECONF? OR LAN OR WANS OR LANS OR ON()LINE    |
| S2   | 15742659                           | RESPONS? OR ANSWER? OR VOTE? OR VOTING? OR REGISTRAT? OR REGISTER? OR REPLY OR REPLIES OR RESULT?                      |
| S3   | 1636681                            | QUER? OR INQUIR? OR QUESTION? OR POLL OR POLLS OR POLLING OR FEEDBACK?   |
| S4   | 2545456                            | COLLABORAT? OR CONSENSUS? OR DECISION? OR AGREE? OR ARBITRAT?  |
| S5   | 6562864                            | SETPOINT? OR LIMIT? OR THRESHOLD? OR MAX OR MIN OR MINIMUM? OR MAXIMUM? OR LEAST? OR FLOOR? OR CEILING? OR SET()POINT? |
| S6   | 6097169                            | REPEAT? OR REITERAT? OR ITERAT? OR AGAIN? OR ANOTHER? OR FOLLOWING? OR SECOND OR 2ND                                   |
| S7   | 392                                | S1 AND S2 AND S3 AND S4 AND S5 AND S6  |
| S8   | 26211                              | S1(3N)S4   |
| S9   | 33                                 | S7 AND S8  |
| S10  | 357519                             | (S2 OR S5) (3N)S6  |
| S11  | 46                                 | S10 AND S7   |
| S12  | 77                                 | S9 OR S11  |
| S13  | 64                                 | RD (unique items)  |
| S14  | 50                                 | S13 NOT PY>2001  |
| File | 8: Ei Compendex(R)                 | 1970-2005/May W1<br>(c) 2005 Elsevier Eng. Info. Inc.  |
| File | 35: Dissertation Abs Online        | 1861-2005/Apr<br>(c) 2005 ProQuest Info&Learning   |
| File | 65: Inside Conferences             | 1993-2005/May W2<br>(c) 2005 BLDSC all rts. reserv.  |
| File | 2: INSPEC                          | 1969-2005/Apr W4<br>(c) 2005 Institution of Electrical Engineers   |
| File | 94: JICST-EPlus                    | 1985-2005/Mar W3<br>(c) 2005 Japan Science and Tech Corp(JST)  |
| File | 111: TGG Natl. Newspaper Index(SM) | 1979-2005/May 10<br>(c) 2005 The Gale Group  |
| File | 6: NTIS                            | 1964-2005/May W1<br>(c) 2005 NTIS, Intl Cpyrght All Rights Res   |
| File | 144: Pascal                        | 1973-2005/May W1<br>(c) 2005 INIST/CNRS  |
| File | 34: SciSearch(R)                   | Cited Ref Sci 1990-2005/May W1<br>(c) 2005 Inst for Sci Info   |
| File | 99: Wilson Appl. Sci & Tech Abs    | 1983-2005/Apr<br>(c) 2005 The HW Wilson Co.  |
| File | 95: TEME-Technology & Management   | 1989-2005/Apr W1<br>(c) 2005 FIZ TECHNIK   |

14/5/3 (Item 3 from file: 8)  
DIALOG(R)File 8:Ei Compendex(R)  
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05762648 E.I. No: EIP01015488606

**Title: On the convergence of multiattribute weighting methods**

Author: Poyhonen, Mari; Hamalainen, Raimo P.

Corporate Source: Helsinki Univ of Technology, Espoo, Finl

Source: European Journal of Operational Research v 129 n 3 Mar 2001. p 569-585

Publication Year: 2001

CODEN: EJORDT ISSN: 0377-2217

Language: English

Document Type: JA; (Journal Article) Treatment: T; (Theoretical)

Journal Announcement: 0102W5

**Abstract:** The convergent validity of five multiattribute weighting methods is studied in an **Internet** experiment. This is the first experiment where the subjects created the alternatives and attributes themselves. Each subject used five methods to assess attribute weights - one version of the analytic hierarchy process (AHP), direct point allocation, simple multiattribute rating technique (SMART), swing weighting, and tradeoff weighting. They can all be used **following** the principles of multiattribute value theory. Furthermore, SMART, swing, and AHP ask the **decision** makers to give directly the numerical estimates of weight ratios although the elicitation **questions** are different. In earlier studies these methods have yielded different weights. Our **results** suggest that the **resulting** weights are different because the methods explicitly or implicitly lead the **decision** makers to choose their **responses** from a **limited** set of numbers. The other consequences from this are that the spread of weights and the inconsistency between the preference statements depend on the number of attributes that a **decision** maker considers simultaneously. (Author abstract) 30 Refs.

**Descriptors:** \*Decision support systems; **Internet** ; Convergence of numerical methods; Process engineering; Hierarchical systems; **Decision** theory; **Decision** making

**Identifiers:** Multiattribute weighting methods; Analytic hierarchy process (AHP); Multi-attribute value theory

**Classification Codes:**

912.2 (Management); 921.6 (Numerical Methods); 913.1 (Production Engineering)

723 (Computer Software); 912 (Industrial Engineering & Management); 921 (Applied Mathematics); 913 (Production Planning & Control)

72 (COMPUTERS & DATA PROCESSING); 91 (ENGINEERING MANAGEMENT); 92 (ENGINEERING MATHEMATICS)

14/5/8 (Item 3 from file: 35)  
DIALOG(R) File 35:Dissertation Abs Online  
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01789998 ORDER NO: AADAA-I9998233

**Computing and querying datacubes**

Author: Zaman, Kazi Atif-Uz

Degree: Ph.D.

Year: 2001

Corporate Source/Institution: Columbia University (0054)

Adviser: Kenneth A. Ross

Source: VOLUME 61/12-B OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 6575. 128 PAGES

Descriptors: COMPUTER SCIENCE

Descriptor Codes: 0984

ISBN: 0-493-06679-9

Datacube **queries** compute aggregates over database relations at a variety of granularities, and they constitute an important class of **decision support queries**. In this thesis we study problems pertaining to the computation of datacubes and frameworks for **querying** them.

Often one wants only datacube output tuples whose aggregate value satisfies a certain condition, such as exceeding a given **threshold**. For example, one might ask for all combinations of model, color, and year of cars (including the special value "ALL" for each of the dimensions) for which the total sales exceeded a given amount of money.

Computing a selection over a datacube can naively be done by computing the entire datacube and checking if the selection condition holds for each tuple in the **result**. However, it is often the case that selections are relatively restrictive, meaning that a lot of work computing datacube tuples is "wasted" since those tuples don't satisfy the selection condition.

Our approach is to develop algorithms for processing a datacube **query** using the selection condition internally during the computation. By making use of the selection condition within the datacube computation, we can safely prune parts of the computation and end up with a more efficient computation of the **answer**. Our first technique, called "specialization", uses the fact that a tuple in the datacube does not meet the given **threshold** to infer that all finer level aggregates cannot meet the **threshold**. We propose a scheme of specialization transformations on the underlying data sets, using properties of the aggregates and **threshold** functions.

Our **second** technique is called "generalization", and applies in the case where the actual value of the aggregate is not needed in the output, but used just to compare with the **threshold**. We refer to these as "projected datacube" **queries**. Generalization uses the fact that a tuple meets the given **threshold** to infer that all coarser level aggregates also meet the **threshold**. We also propose a scheme of generalization transformations. We demonstrate that computing the median is easier for projected datacubes.

In the **second** major piece of work we study a main memory based framework for **querying** datacubes. For large datasets with many dimensions, the complete datacube may be very large. In order to support **on - line** access to datacube **results**, one would like to perform some precomputation to enhance **query** performance.

We propose a main memory based framework which provides rapid **response** to **queries** and requires considerably less maintenance cost than a disk based scheme in an append-only environment. (Abstract shortened by UMI.)

14/5/9 (Item 4 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
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01786652 ORDER NO: AADAA-I1401074  
Decision feedback equalization using hybrid lattice-neural network  
structures  
Author: Mahmood, Kashif  
Degree: M.S.  
Year: 2000  
Corporate Source/Institution: King Fahd University of Petroleum and  
Minerals (Saudi Arabia) (1088)  
Source: VOLUME 39/01 of MASTERS ABSTRACTS.  
PAGE 264. 126 PAGES  
Descriptors: ENGINEERING, ELECTRONICS AND ELECTRICAL ; ARTIFICIAL  
INTELLIGENCE  
Descriptor Codes: 0544; 0800  
ISBN: 0-599-90282-5

The non-linear structure of neural **networks** makes it very suitable for channel equalization, especially when the channel is heavily distorted. In this thesis we investigate the performance of three different Neural **Network** based **Decision Feedback** Equalization schemes with and without Lattice filler. The lattice structures are well known for their fast convergence and insensitivity to the eigen value spread of the channel autocorrelation matrix. First, the performance of Radial Basis, Function **network** trained through simple **Least** Mean Square algorithm is investigated for **Decision Feedback** Equalization. **Second**, the Multi Layer Perceptron trained through Recursive **Least** Squares algorithm is used for DFE and its performance is investigated. Finally, the hybrid neural **network** based structure is proposed for **on - line** training of DFE.

These proposed schemes are investigated by means of computer simulations and **results** are presented for static and time varying channels in the form of Learning Curves and Bit Error Rate for different equalizer configurations.

14/5/43 (Item 8 from file: 34)  
DIALOG(R) File 34:SciSearch(R) Cited Ref Sci  
(c) 2005 Inst for Sci Info. All rts. reserv.

04903184 Genuine Article#: UQ699 Number of References: 22  
**Title: LOWER BOUNDS ON LEARNING DECISION LISTS AND TREES**  
Author(s): HANCOCK T; JIANG T; LI M; TROMP J  
Corporate Source: SIEMENS AG, CORP RES, 755 COLL RD E/PRINCETON//NJ/08540;  
MCMASTER UNIV, DEPT COMP SCI & SYST/HAMILTON/ON L8S 4K1/CANADA/; UNIV  
WATERLOO, DEPT COMP SCI/WATERLOO/ON N3L 3G1/CANADA/  
Journal: INFORMATION AND COMPUTATION, 1996, V126, N2 (MAY 1), P114-122  
ISSN: 0890-5401

Language: ENGLISH Document Type: ARTICLE  
Geographic Location: USA; CANADA  
Subfile: SciSearch; CC ENGI--Current Contents, Engineering, Technology &  
Applied Sciences

Journal Subject Category: MATHEMATICS, APPLIED; COMPUTER SCIENCE,  
INFORMATION SYSTEMS

**Abstract:** k- **Decision** lists and **decision** trees play important roles in learning theory as well as in practical learning systems. k- **Decision** lists generalize classes such as monomials, k-DNF, and k-CNF, and like these subclasses they are polynomially PAC-learnable [R. Rivest, Mach. Learning 2 (1987), 229-246]. This leaves open the **question** of whether k- **decision** lists can be learned as efficiently as k-DNF. We **answer** this **question** negatively in a certain sense, thus disproving a claim in a popular textbook [M. Anthony and N. Biggs, 'Computational Learning Theory,' Cambridge Univ. Press, Cambridge, UK, 1992]. **Decision** trees, on the other hand, are not even known to be polynomially PAC-learnable, despite their widespread practical application. We will show that **decision** trees are not likely to be efficiently PAC-learnable. We summarize our specific **results**. The **following** problems cannot be approximated in polynomial time within a factor of  $2(\log \delta n)$  for any  $\delta > 1$ , unless NP subset of  $DTIME[2(\text{polylog } n)]$ : a generalized set cover, k- **decision** lists, k- **decision** lists by monotone **decision** lists, and **decision** trees. **Decision** lists cannot be approximated in polynomial time within a factor of  $n(\delta)$ , for some constant  $\delta > 0$ , unless NP = P. Also, k- **decision** lists with  $l$  0-1 alternations cannot be approximated within a factor  $\log n$  unless NP subset of  $DTIME[n(O(\log \log n))]$  (providing an interesting comparison to the upper bound obtained by A. Dhagat and L. Hellerstein [in 'FOCS '94,' pp. 64-74]). (C) 1996 Academic Press, Inc.

Research Fronts: 94-3120 003 (MACHINE LEARNING; **DECISION** TREE  
INDUCTION; KNOWLEDGE ACQUISITION; NEURAL **NETWORKS** ; UNIFIED FRAMEWORK;  
DOMAIN OF PROGRAMMING)

94-1025 002 (NEURAL **NETWORKS** ; PAC LEARNABILITY; VAPNIK-CHERVONENKIS  
BOUNDS; BOOLEAN COMBINATIONS)

94-1237 001 (APPROXIMATION OF **MAXIMUM** SATISFIABILITY; SET COVERING  
PROBLEM; EFFICIENT ALGORITHM)

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ARORA A, 1992, P14, P 33 IEEE S FOUND CO  
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BOARD R, 1990, P54, P 22 ACM S THEOR COM

14/5/45 (Item 10 from file: 34)  
DIALOG(R)File 34:SciSearch(R) Cited Ref Sci  
(c) 2005 Inst for Sci Info. All rts. reserv.

03696957 Genuine Article#: PY647 Number of References: 24

Title: DISTRIBUTED BINARY HYPOTHESIS-TESTING WITH FEEDBACK

Author(s): PADOS DA; HALFORD KW; KAZAKOS D; PAPANTONIKAZAKOS P

Corporate Source: UNIV VIRGINIA,DEPT ELECT ENGN,THORNTON

HALL/CHARLOTTESVILLE//VA/22903; UNIV SW LOUISIANA,DEPT ELECT

ENGN/LAFAYETTE//LA/70504; UNIV ALABAMA,DEPT ELECT

ENGN/TUSCALOOSA//AL/35487

Journal: IEEE TRANSACTIONS ON SYSTEMS MAN AND CYBERNETICS, 1995, V25, N1 (JAN), P21-42

ISSN: 0018-9472

Language: ENGLISH Document Type: ARTICLE

Geographic Location: USA

Subfile: SciSearch; CC ENGI--Current Contents, Engineering, Technology & Applied Sciences

Journal Subject Category: COMPUTER SCIENCE, CYBERNETICS; ENGINEERING, ELECTRICAL & ELECTRONIC

Abstract: The problem of binary hypothesis testing is revisited in the context of distributed detection with **feedback**. Two basic distributed structures with **decision feedback** are considered. The first structure is the fusion center **network**, with **decision feedback** connections from the fusion center element to each one of the subordinate **decisionmakers**. The **second** structure consists of a set of detectors that are fully interconnected via **decision feedback**. Both structures are optimized in the Neyman-Pearson sense by optimizing each **decisionmaker** individually. Then, the time evolution of the power of the tests is derived. Definite conclusions regarding the gain induced by the **feedback** process and direct comparisons between the two structures and the optimal centralized scheme are obtained through asymptotic studies (that is, assuming the presence of asymptotically many local detectors). The behavior of these structures is also examined in the presence of variations in the statistical description of the hypotheses. Specific robust designs are proposed and the benefits from robust operations are established. Numerical **results** provide additional support to the theoretical arguments.

Identifiers--KeyWords Plus: **DECISION** FUSION; RADAR DETECTION; SYSTEMS; OPTIMUM

Research Fronts: 93-3846 002 (DISTRIBUTED DETECTION; DESIGN OF QUANTIZERS; OPTIMAL MULTIPLE LEVEL **DECISION** FUSION)

93-0171 001 (REGRESSION DIAGNOSTICS; BIAS ROBUST ESTIMATION; MULTIPLE OUTLIERS; **LEAST** MEDIAN SQUARES; PARTIAL RESIDUAL PLOTS)

93-7654 001 (NEURAL **NETWORKS**; CONCEPTUAL RULE LEARNING; CONNECTIONIST MODEL FOR CATEGORY PERCEPTION)

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| Set | Items   | Description   |
|-----|---------|---|
| S1  | 117896  | MC=(T01-N01D1A OR T01-N01D1B OR T01-N03A1 OR T01-S03)   |
| S2  | 48632   | IC=G06F-007   |
| S3  | 4536    | S1 AND S2   |
| S4  | 273     | S3 AND (LIMIT? OR SETPOINT? OR SET()POINT? OR CEILING? OR -<br>FLOOR? OR MAXIMUM? OR MINIMUM? OR THRESHOLD?)  |
| S5  | 367341  | QUER? OR REQUEST? OR INQUIR? OR POLL OR POLLING OR QUESTIO-<br>N? OR (ELECTRONIC? OR DIGITAL) (N)FORM? OR FEEDBACK?   |
| S6  | 69      | S4 AND S5   |
| S7  | 22894   | (DSS OR DECISION()SUPPORT? OR COLLABORAT? OR CONSENSUS? OR<br>AGREEMENT? OR VOTING? OR VOTES OR ARBITRAT?)  |
| S8  | 12551   | S5(3N)(SECOND? OR 2ND OR REPEAT? OR ANOTHER? OR AGAIN? OR -<br>ITERAT? OR REITERAT?)  |
| S9  | 8       | S6 AND (S7 OR S8)   |
| S10 | 12551   | S5 AND S8   |
| S11 | 10104   | IM OR INSTANT()MESSAG? OR IRC OR CHAT? ? OR VIDEOCONFERENC?<br>OR CUCME OR MESSENGER?   |
| S12 | 30      | S10 AND S11   |
| S13 | 11      | S12 AND (S1 OR S2)  |
| S14 | 19      | S9 OR S13   |
| S15 | 19      | IDPAT (sorted in duplicate/non-duplicate order)   |
| S16 | 19      | IDPAT (primary/non-duplicate records only)  |
| S17 | 31768   | (LIMIT? OR SETPOINT? OR SET()POINT? OR CEILING? OR FLOOR? -<br>OR MAXIMUM? OR MINIMUM? OR THRESHOLD?) (3N)(SECOND OR 2ND OR R-<br>EPEAT? OR ANOTHER? OR AGAIN? OR ITERAT? OR REITERAT? OR ECHO) |
| S18 | 38      | S17 AND S7  |
| S19 | 0       | S18 AND S11   |
| S20 | 34      | S18 NOT AD=20010802:20030802  |
| S21 | 34      | S20 NOT AD=20030802:20050601  |
| S22 | 64      | S21 OR S12  |
| S23 | 26      | S22 AND IC=G06F   |
| S24 | 26      | IDPAT (sorted in duplicate/non-duplicate order)   |
| S25 | 26      | IDPAT (primary/non-duplicate records only)  |
| S26 | 2005398 | RESPONS? OR REPLY? OR ANSWER? OR REPLIES OR FEEDBACK? OR V-<br>OTE? OR VOTING OR DECISION? OR RESULT?   |
| S27 | 124     | S26 AND S7 AND S8   |
| S28 | 0       | S27 AND S17   |
| S29 | 13      | S27 AND (SETPOINT? OR LIMIT? OR SET()POINT? OR CEILING? OR<br>FLOOR? OR THRESHOLD? OR BENCHMARK? OR MINIMU? OR MAX OR MAXIM-<br>UM OR RANGE?)   |
| S30 | 0       | S29 AND IC=G05F   |
| S31 | 94      | S27 AND IC=G06F   |
| S32 | 9       | S31 AND S1  |
| S33 | 9       | S32 NOT S18   |
| S34 | 27      | S16 OR S33  |
| S35 | 25      | S34 AND IC=G06F   |
| S36 | 25      | S35 NOT S18   |
| S37 | 4       | S36 NOT AD=20010807:20030807  |
| S38 | 4       | S37 NOT AD=20030807:20050512  |
| S39 | 76      | S17 AND (DSS OR AI OR ARTIFICIAL()INTELLIGEN? OR NEURAL()N-<br>ETWORK? OR NEURAL()SYSTEM? OR (MACHINE OR COMPUTER?) (2N)(LEAR-<br>N? OR TEACH? OR TRAIN?))                                      |
| S40 | 2       | S39 AND S1  |
| S41 | 41      | S39 AND IC=(H04L OR G06F)   |
| S42 | 41      | S41 OR S40  |
| S43 | 38      | S42 NOT AD=20010807:20030807  |
| S44 | 37      | S43 NOT AD=20030807:20050512  |
| S45 | 37      | S44 NOT (S38 OR S33 OR S25)   |
| S46 | 37      | IDPAT (sorted in duplicate/non-duplicate order)   |
| S47 | 35      | IDPAT (primary/non-duplicate records only)  |

File 347:JAPIO Nov 1976-2005/Jan(Updated 050506)

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File 350:Derwent WPIX 1963-2005/UD,UM &UP=200529  
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25/5/7 (Item 7 from file: 350)  
DIALOG(R) File 350:Derwent WPIX  
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015963127 \*\*Image available\*\*  
WPI Acc No: 2004-120968/200412  
XRPX Acc No: N04-096836

Iterative feedback driven system for e.g. building value web,  
facilitates emergence in virtual intelligent agents, so that local  
interactions between agent result in discernible macro behavior

Patent Assignee: TAYLOR G (TAYL-I); TAYLOR M (TAYL-I)

Inventor: TAYLOR G; TAYLOR M

Number of Countries: 001 Number of Patents: 001

Patent Family:

| Patent No      | Kind | Date     | Applicat No   | Kind | Date     | Week     |
|----------------|------|----------|---------------|------|----------|----------|
| US 20040006566 | A1   | 20040108 | US 2000246118 | P    | 20001107 | 200412 B |
|                |      |          | US 200114718  | A    | 20011107 |          |

Priority Applications (No Type Date): US 2000246118 P 20001107; US  
200114718 A 20011107

Patent Details:

| Patent No      | Kind | Lan | Pg          | Main IPC                | Filing Notes  |
|----------------|------|-----|-------------|-------------------------|---------------|
| US 20040006566 | A1   | 124 | G06F-017/00 | Provisional application | US 2000246118 |

Abstract (Basic): US 20040006566 A1

NOVELTY - The system imbeds mind-like characteristics and behavior in virtual intelligent agents that performs task, represent existing articles of value and trade, search databases and other virtual environments. Emergence is facilitated in the agents, such that local interactions with other agents result in discernible macro behavior.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for interactive, **feedback** driven method.

USE - For building and sustaining value webs, for optimizing agent pattern language values in collaborative environment e.g. transport environments, environments including navigation, global positioning system (GPS) and communication systems, large-scale electronic work walls, electronic assistants and displays, real-time **videoconferencing**, intelligent agents, data ware houses, jet aircraft, toys, games, video displays, computers.

ADVANTAGE - Provides a record of each working session for the user to review and learn from, to increase his/her efficiency, consistently accomplishes desired kinds of results by facilitating emergence in agents.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram explaining single iteration of augmenting knowledge commerce.

pp; 124 DwgNo 1/24

Title Terms: ITERATIVE; **FEEDBACK**; DRIVE; SYSTEM; BUILD; VALUE; WEB;  
FACILITATE; EMERGENCE; VIRTUAL; INTELLIGENCE; AGENT; SO; LOCAL; INTERACT;  
AGENT; RESULT; DISCERNIBLE; MACRO; BEHAVE

Derwent Class: T01

International Patent Class (Main): G06F-017/00

File Segment: EPI

25/5/21 (Item 21 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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010997681 \*\*Image available\*\*  
WPI Acc No: 1996-494630/199649  
XRPX Acc No: N96-417148

Digital data correlating detector - in which second judgment unit judges that input data is in agreement with inverted comparison pattern, when inharmonious number output from comparator is larger than predetermined second limit value

Patent Assignee: ANRITSU CORP (ANRI )  
Number of Countries: 001 Number of Patents: 001  
Patent Family:

| Patent No  | Kind | Date     | Applicat No | Kind | Date     | Week     |
|------------|------|----------|-------------|------|----------|----------|
| JP 8255090 | A    | 19961001 | JP 9583368  | A    | 19950315 | 199649 B |

Priority Applications (No Type Date): JP 9583368 A 19950315

Patent Details:

| Patent No  | Kind | Lan Pg | Main IPC    | Filing Notes |
|------------|------|--------|-------------|--------------|
| JP 8255090 | A    | 5      | G06F-011/00 |              |

Abstract (Basic): JP 8255090 A

The detector has a shift register (1) which receives one bit of input data and shifts them. A comparator (2) compares the parallel data output of the shift register with a predetermined comparison pattern (3) and outputs an inharmonious number (f). A first judgment unit (4) judges that the input data is in **agreement** with the comparison pattern when the inharmonious number is smaller than a predetermined first limit value (S1).

A second judgement unit (6) judges that the input data is in **agreement** with the inverted comparison pattern, when the inharmonious number is larger than a **second limit** value (S2).

ADVANTAGE - Enables detection of inverted and non-inverted coincidence of pattern. Avoids need for shift register for performing inversion.

Dwg.1/6

Title Terms: DIGITAL; DATA; CORRELATE; DETECT; SECOND; UNIT; JUDGEMENT;  
INPUT; DATA; AGREE; INVERT; COMPARE; PATTERN; NUMBER; OUTPUT; COMPARATOR;  
LARGER; PREDETERMINED; SECOND; LIMIT; VALUE

Derwent Class: T01; W01

International Patent Class (Main): G06F-011/00

International Patent Class (Additional): H04L-001/08

File Segment: EPI

47/5/2 (Item 2 from file: 350)

DIALOG(R) File 350:Derwent WPIX

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013777973 \*\*Image available\*\*

WPI Acc No: 2001-262184/200127

XRPX Acc No: N01-187538

Neural network with corrigenda judging function for data mining,  
selects multivalue output signal of neural network based on which  
corrigenda answer judging information is output

Patent Assignee: KOKUSAI DENSHIN DENWA CO LTD (KOKU )

Number of Countries: 001 Number of Patents: 001

Patent Family:

| Patent No     | Kind | Date     | Applicat No | Kind | Date     | Week     |
|---------------|------|----------|-------------|------|----------|----------|
| JP 2001051969 | A    | 20010223 | JP 99229192 | A    | 19990813 | 200127 B |

Priority Applications (No Type Date): JP 99229192 A 19990813

Patent Details:

| Patent No     | Kind | Lan | Pg | Main IPC    | Filing Notes |
|---------------|------|-----|----|-------------|--------------|
| JP 2001051969 | A    |     | 16 | G06F-015/18 |              |

Abstract (Basic): JP 2001051969 A

NOVELTY - The binary **neural networks** (31,35,39) are arranged in parallel. The multivalue output signals of **neural networks** (29,32) are sent via threshold circuits (30,33) to processor (24) for comparison. The corrigenda answer evaluation of multivalue output signal is performed. A selection unit (25) selects one of the output signals and outputs corrigenda answer judging information based on selected signal.

DETAILED DESCRIPTION - The threshold circuit (30) converts signal output from **neural network** based on multivalue teaching signal and learning input data. Another threshold circuits (33) converts signal from another **neural network** (32) based on other teaching signal and learning signal. The output of binary **neural networks** are compared.

USE - For judging error correct answer or wrong answer for pattern recognition, data mining and image processing.

ADVANTAGE - Highly accurate answer is obtained using simple components by eliminating use of many **neural networks** . Enables high rate of pattern recognition, efficient performance and high generalization capability.

DESCRIPTION OF DRAWING(S) - The figure shows the component of **neural networks** with corrigenda answer judging function. (Drawing includes non-English language text).

Processor (24)

Selection unit (25)

**Neural networks** (29,31,32,35,39)

Threshold circuits (30,33)

pp; 16 DwgNo 1/4

Title Terms: NEURAL; NETWORK; JUDGEMENT; FUNCTION; DATA; MINE; SELECT;  
OUTPUT; SIGNAL; NEURAL; NETWORK; BASED; ANSWER; JUDGEMENT; INFORMATION;  
OUTPUT

Derwent Class: T01

International Patent Class (Main): G06F-015/18

File Segment: EPI

47/5/5 (Item 5 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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011933712 \*\*Image available\*\*  
WPI Acc No: 1998-350622/199831  
XRPX Acc No: N98-273779

Neural network retraining for transmission of messages - retrains  
second neural network created by first neural network to detect  
anomaly

Patent Assignee: NORTHERN TELECOM LTD (NELE ); CEREBRUS SOLUTIONS LTD  
(CERE-N); NOTEL NETWORKS CORP (NELE )

Inventor: BARSON P C; EDWARDS T J; FIELD S; HAMER P; HOBSON P W; TWITCHEN K  
J

Number of Countries: 080 Number of Patents: 007

Patent Family:

| Patent No   | Kind | Date     | Applicat No | Kind | Date     | Week     |
|-------------|------|----------|-------------|------|----------|----------|
| GB 2321364  | A    | 19980722 | GB 971196   | A    | 19970121 | 199831 B |
| WO 9832086  | A1   | 19980723 | WO 98GB140  | A    | 19980114 | 199835   |
| AU 9857710  | A    | 19980807 | AU 9857710  | A    | 19980114 | 199901   |
| EP 897566   | A1   | 19990224 | EP 98901368 | A    | 19980114 | 199912   |
|             |      |          | WO 98GB140  | A    | 19980114 |          |
| US 6067535  | A    | 20000523 | US 97869884 | A    | 19970605 | 200032   |
| EP 897566   | B1   | 20030827 | EP 98901368 | A    | 19980114 | 200358   |
|             |      |          | WO 98GB140  | A    | 19980114 |          |
| DE 69817487 | E    | 20031002 | DE 617487   | A    | 19980114 | 200372   |
|             |      |          | EP 98901368 | A    | 19980114 |          |
|             |      |          | WO 98GB140  | A    | 19980114 |          |

Priority Applications (No Type Date): GB 971196 A 19970121

Patent Details:

| Patent No  | Kind | Lan Pg | Main IPC    | Filing Notes  |
|--|------|--------|-------------|---|
| GB 2321364   | A    | 102    | H04M-003/38 |   |
| WO 9832086   | A1 E |        | G06F-017/60 |   |
| Designated States (National): AL AM AT AU AZ BA BB BG BR BY CA CH CN CU<br>CZ DE DK EE ES FI GB GE GH HU IL IS JP KE KG KP KR KZ LC LK LR LS LT LU<br>LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA<br>UG US UZ VN YU ZW |      |        |             |   |
| Designated States (Regional): AT BE CH DE DK EA ES FI FR GB GH GM GR IE<br>IT KE LS LU MC MW NL OA PT SD SE SZ UG ZW   |      |        |             |   |
| AU 9857710   | A    |        | G06F-017/60 | Based on patent WO 9832086                              |
| EP 897566  | A1 E |        | G06F-017/60 | Based on patent WO 9832086                              |
| Designated States (Regional): AT BE CH DE DK ES FI FR GB GR IE IT LI LU<br>NL PT SE  |      |        |             |   |
| US 6067535   | A    |        | G06N-003/02 |   |
| EP 897566  | B1 E |        | G06F-017/60 | Based on patent WO 9832086                              |
| Designated States (Regional): AT BE CH DE DK ES FI FR GB GR IE IT LI LU<br>NL PT SE  |      |        |             |   |
| DE 69817487  | E    |        | G06F-017/60 | Based on patent EP 897566<br>Based on patent WO 9832086 |

Abstract (Basic): GB 2321364 A

The method of managing the processing of information using a supervised training-multi-layered perceptron neuron network (261) and information relating to the transmission of messages in telecommunications network (203) involves monitoring the performance of the first **neural network** in processing the information. The second **neural network** of the same topology is created as the first when a predetermined performance **threshold** is reached. The **second neural network** is retrained whilst continuing to process the information

using the first **neural network** . If the **neural networks** are implemented using signals. Retraining can be facilitated by using a persistence mechanism to enable the objects to be stored and moved.

USE-Fraud detection.

ADVANTAGE- Provides pattern of fraud behaviour and detects as many different types of present and evolving fraud.

Dwg.1/18

Title Terms: NEURAL; NETWORK; TRANSMISSION; MESSAGE; SECOND; NEURAL;  
NETWORK; FIRST; NEURAL; NETWORK; DETECT

Derwent Class: W01

International Patent Class (Main): **G06F-017/60** ; G06N-003/02; H04M-003/38

International Patent Class (Additional): **G06F-015/80** ; G07F-007/08;

H04M-015/00; H04Q-003/00; H04Q-007/38

File Segment: EPI



47/5/6 (Item 6 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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011742812 \*\*Image available\*\*  
WPI Acc No: 1998-159722/199814  
XRPX Acc No: N98-126879

On-line training system for neural network - only selects training data vectors for training which have information content above threshold value

Patent Assignee: SIEMENS AG (SIEI )  
Inventor: DECO G; OBRADOVIC D; SCHUERMANN B  
Number of Countries: 019 Number of Patents: 005  
Patent Family:

| Patent No     | Kind | Date     | Applicat No | Kind | Date     | Week     |
|---------------|------|----------|-------------|------|----------|----------|
| WO 9807100    | A1   | 19980219 | WO 97DE1567 | A    | 19970724 | 199814 B |
| EP 978052     | A1   | 20000209 | EP 97935479 | A    | 19970724 | 200012   |
|               |      |          | WO 97DE1567 | A    | 19970724 |          |
| JP 2000516739 | W    | 20001212 | WO 97DE1567 | A    | 19970724 | 200101   |
|               |      |          | JP 98509280 | A    | 19970724 |          |
| EP 978052     | B1   | 20011031 | EP 97935479 | A    | 19970724 | 200169   |
|               |      |          | WO 97DE1567 | A    | 19970724 |          |
| DE 59705226   | G    | 20011206 | DE 505226   | A    | 19970724 | 200203   |
|               |      |          | EP 97935479 | A    | 19970724 |          |
|               |      |          | WO 97DE1567 | A    | 19970724 |          |

Priority Applications (No Type Date): DE 1032245 A 19960809

Patent Details:

| Patent No  | Kind | Lan | Pg | Main IPC    | Filing Notes               |
|--|------|-----|----|-------------|----------------------------|
| WO 9807100   | A1   | G   | 27 | G06F-015/80 |                            |
| Designated States (National): JP US  |      |     |    |             |                            |
| Designated States (Regional): AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE |      |     |    |             |                            |
| EP 978052  | A1   | G   |    | G06F-015/80 | Based on patent WO 9807100 |
| Designated States (Regional): DE FR GB   |      |     |    |             |                            |
| JP 2000516739  | W    |     | 30 | G06F-015/18 | Based on patent WO 9807100 |
| EP 978052  | B1   | G   |    | G06F-015/80 | Based on patent WO 9807100 |
| Designated States (Regional): DE FR GB   |      |     |    |             |                            |
| DE 59705226  | G    |     |    | G06F-015/80 | Based on patent EP 978052  |
| Based on patent WO 9807100   |      |     |    |             |                            |

Abstract (Basic): WO 9807100 A

The training system selects the training data for the **neural network** using evaluation of the information content of at least one training data vector, by comparing it with a threshold value. The training data vector is selected for training when the threshold value is exceeded and is rejected when the threshold is not reached.

The entered training data vectors may be grouped in clusters, with evaluation of the information content of each cluster, with at least one training data vector selected from each cluster with an information content above a **second threshold**.

ADVANTAGE - Reduced processing capacity requirement.

Dwg.2/5

Title Terms: LINE; TRAINING; SYSTEM; NEURAL; NETWORK; SELECT; TRAINING; DATA; VECTOR; TRAINING; INFORMATION; CONTENT; ABOVE; THRESHOLD; VALUE

Derwent Class: T01

International Patent Class (Main): G06F-015/18 ; G06F-015/80

File Segment: EPI

47/5/15 (Item 15 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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010299259 \*\*Image available\*\*  
WPI Acc No: 1995-200520/199526  
XRPX Acc No: N95-157486

**Stabilised adaptive neural network based control system - has nominal control system augmented by adaptive control to generate additional compensating control signals based on differences between model and actual system output**

Patent Assignee: NORTHROP GRUMMAN CORP (NOTH ); GRUMMAN AEROSPACE CORP (GRUA )

Inventor: EILBERT J L; ENGEL S J; HUANG C Y; EIBERT J L

Number of Countries: 019 Number of Patents: 002

Patent Family:

| Patent No  | Kind | Date     | Applicat No  | Kind | Date     | Week     |
|------------|------|----------|--------------|------|----------|----------|
| WO 9514277 | A1   | 19950526 | WO 94US11834 | A    | 19941020 | 199526 B |
| US 5493631 | A    | 19960220 | US 93153096  | A    | 19931117 | 199613   |

Priority Applications (No Type Date): US 93153096 A 19931117

Cited Patents: 2.Jnl.Ref; US 5113483; US 5287430; US 5313559

Patent Details:

| Patent No | Kind | Lan Pg | Main IPC | Filing Notes |
|-----------|------|--------|----------|--------------|
|-----------|------|--------|----------|--------------|

|            |    |    |             |  |
|------------|----|----|-------------|--|
| WO 9514277 | A1 | 17 | G06F-015/18 |  |
|------------|----|----|-------------|--|

Designated States (National): CA JP

Designated States (Regional): AT BE CH DE DK ES FR GB GR IE IT LU MC NL PT SE

|            |   |   |             |
|------------|---|---|-------------|
| US 5493631 | A | 9 | G06F-015/18 |
|------------|---|---|-------------|

Abstract (Basic): WO 9514277 A

The control system has an actuator (1), a command control signal generator, result sensors (4,7), a nominal control system and an auxiliary adaptive control system. The actuator initiates action in a plant (2) in response to a command control signal. The command control signal generator produces a command control signal in response to a command and supplies the command control signal to the actuator to cause the actuator to initiate the action in the plant.

The result sensors output first and second signals based upon the results of the action in the plant. A first control signal is generated in response to sensing of the results of the action by the nominal control signal. The auxiliary adaptive control system compares the action, as indicated by the second sensor signal with a model of the action (8) based on the command. A second control signal is generated in response to the comparison. The first and second control signals are combined with the command control signal to modify the command control signal supplied to the actuator.

USE/ADVANTAGE - Auxiliary **neural network** controller. Ensures safety of overall system. Combines high performance with robustness.

Dwg.1/5

Title Terms: STABILISED; ADAPT; NEURAL; NETWORK; BASED; CONTROL; SYSTEM; NOMINAL; CONTROL; SYSTEM; AUGMENT; ADAPT; CONTROL; GENERATE; ADD;

COMPENSATE; CONTROL; SIGNAL; BASED; DIFFER; MODEL; ACTUAL; SYSTEM; OUTPUT

Derwent Class: T01; T06; W06; W07

International Patent Class (Main): G06F-015/18

File Segment: EPI

47/5/21 (Item 21 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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009149382 \*\*Image available\*\*  
WPI Acc No: 1992-276821/199233  
XRPX Acc No: N92-211690

Neural network processor for solving competitive assignment problems  
- has matrix of NxM processing units each corresp. to pairing of row  
elements with column elements each having programmed limits

Patent Assignee: NASA US NAT AERO & SPACE ADMIN (USAS )

Inventor: EBERHARDT S P

Number of Countries: 001 Number of Patents: 002

Patent Family:

| Patent No   | Kind | Date     | Applicat No | Kind | Date     | Week     |
|-------------|------|----------|-------------|------|----------|----------|
| US N7744042 | N    | 19920615 | US 91744042 | A    | 19910812 | 199233 B |
| US 5195170  | A    | 19930316 | US 91744042 | A    | 19910812 | 199313   |

Priority Applications (No Type Date): US 91744042 A 19910812

Patent Details:

| Patent No   | Kind | Lan | Pg | Main IPC    | Filing Notes |
|-------------|------|-----|----|-------------|--------------|
| US N7744042 | N    |     | 37 | G06F-015/00 |              |
| US 5195170  | A    |     | 16 | G06F-015/18 |              |

US N7744042 N 37 G06F-015/00  
US 5195170 A 16 G06F-015/18

Abstract (Basic): US N7744042 N

The **neural network** processor consists of a matrix of NxM processing units, each of which corresponds to the pairing of a first number of elements of (Ri) with a second number of elements (Cj). The limits of the first number are programmed in row control superneurons, and the **limits** of the **second** number are programmed in column superneurons as MIN and MAX values.

The cost (weight) Wij of the pairings is programmed separately into each PU. For each row and column of PUs, a dedicated constraint superneuron insures that the number of active neurons within the associated row or column fall within a specified range. Annealing is provided by gradually increasing the PU gain for each row and column or increasing positive feedback to each PU, the latter being effective to increase hysteresis of each PU or by combining both of these techniques.

USE - E.g. for scheduling segmented data cells queued at input terminals of asynchronous transfer mode telecommunication switching.

Dwg.2/8

US 7744042 N

The **neural network** processor consists of a matrix of NxM processing units, each of which corresponds to the pairing of a first number of elements of (Ri) with a second number of elements (Cj). The limits of the first number are programmed in row control superneurons, and the **limits** of the **second** number are programmed in column superneurons as MIN and MAX values.

The cost (weight) Wij of the pairings is programmed separately into each PU. For each row and column of PUs, a dedicated constraint superneuron insures that the number of active neurons within the associated row or column fall within a specified range. Annealing is provided by gradually increasing the PU gain for each row and column or increasing positive feedback to each PU, the latter being effective to increase hysteresis of each PU or by combining both of these techniques.

USE - E.g. for scheduling segmented data cells queued at input terminals of asynchronous transfer mode telecommunication switching.

Dwg.2/8

US 7744042 A

The **neural network** processor consists of a matrix of NxM processing units, each of which corresponds to the pairing of a first number of elements of (Ri) with a second number of elements (Cj). The limits of the first number are programmed in row control superneurons, and the **limits** of the **second** number are programmed in column superneurons as MIN and MAX values.

The cost (weight) Wij of the pairings is programmed separately into each PU. For each row and column of PUs, a dedicated constraint superneuron insures that the number of active neurons within the associated row or column fall within a specified range. Annealing is provided by gradually increasing the PU gain for each row and column or increasing positive feedback to each PU, the latter being effective to increase hysteresis of each PU or by combining both of these techniques.

USE - E.g. for scheduling segmented data cells queued at input terminals of asynchronous transfer mode telecommunication switching.

Dwg.2/8

Title Terms: NEURAL; NETWORK; PROCESSOR; SOLVING; COMPETE; ASSIGN; PROBLEM; MATRIX; PROCESS; UNIT; CORRESPOND; PAIR; ROW; ELEMENT; COLUMN; ELEMENT; PROGRAM; LIMIT

Derwent Class: T01; W01; W06

International Patent Class (Main): G06F-015/00 ; G06F-015/18

File Segment: EPI

47/5/31 (Item 31 from file: 347)  
DIALOG(R)File 347:JAPIO  
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04660978 \*\*Image available\*\*  
NEUROCOMPUTER

PUB. NO.: 06-332878 [JP 6332878 A]  
PUBLISHED: December 02, 1994 (19941202)  
INVENTOR(s): OBUCHI YASUNARI  
SAGAWA HIROHIKO  
OHIRA EIJI  
SAKIYAMA ASAKO  
SAGARA KAZUHIKO  
INOUE KIYOSHI  
OKI MASARU  
TODA YUJI

APPLICANT(s): HITACHI LTD [000510] (A Japanese Company or Corporation), JP  
(Japan)

APPL. NO.: 05-125707 [JP 93125707]  
FILED: May 27, 1993 (19930527)  
INTL CLASS: [5] G06F-015/18 ; G06G-007/60  
JAPIO CLASS: 45.4 (INFORMATION PROCESSING -- Computer Applications)

#### ABSTRACT

PURPOSE: To provide a hardware for providing a solution at high speed by using a state change concerning a target function and a state change concerning limit conditions selectively corresponding to a state when solving a limited optimizing problem by using a **neural network** .

CONSTITUTION: One unit 101 is composed of two neurons 102 and 103 and they become the components of the network. Additionally, several neurons 107 for state decision are existent and corresponding to the state of the network, a signal is sent for showing which neuron in each unit is outputted. In each unit, any neuron outputs data corresponding to that signal. Since the state is changed so as to decrease the value of the target function when the state satisfies the limit conditions with sufficient accuracy or the state is changed so as to satisfy the limit conditions when the state is considerably **against** the **limit** conditions, the solution can be provided at high speed rather than the case of optimization while mixing both of them in a fixed rate at all times.

47/5/34 (Item 34 from file: 347)  
DIALOG(R)File 347:JAPIO  
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03533458 \*\*Image available\*\*  
**LEARNING MACHINE**

PUB. NO.: 03-196358 [JP 3196358 A]  
PUBLISHED: August 27, 1991 (19910827)  
INVENTOR(s): SAKAGAMI SHIGEO  
KODA TOSHIYUKI  
SHIMEKI TAIJI  
TAKAGI HIDEYUKI  
TOGAWA HAYATO  
APPLICANT(s): MATSUSHITA ELECTRIC IND CO LTD [000582] (A Japanese Company  
or Corporation), JP (Japan)  
APPL. NO.: 01-339001 [JP 89339001]  
FILED: December 26, 1989 (19891226)  
INTL CLASS: [5] **G06F-015/18**  
JAPIO CLASS: 45.4 (INFORMATION PROCESSING -- Computer Applications)  
JOURNAL: Section: P, Section No. 1279, Vol. 15, No. 462, Pg. 88,  
November 22, 1991 (19911122)

#### ABSTRACT

PURPOSE: To shorten the time required for learning by determining the steepest drop direction as a minimum error point search direction when a last weight variation quantity is less than a constant threshold value and determining a conjugate gradient direction in other cases.

CONSTITUTION: In a 1st minimum error point search, a search direction determining means 16 determines the steepest drop direction as the minimum error search direction in a weight space represented by weight vectors of variable weight multiplying means 3 - 8. In 2nd and succeeding minimum error searches, the search direction determining means 16 determines the steepest drop direction as the minimum error point search direction in the weight space when the last weight variation quantity is less than the constant threshold value or the conjugate gradient direction in other cases. Thus, the best minimum error point search direction is found to make the error sufficiently small in a short learning time and the learning is completed.